Treating Anxiety Disorders in a Managed Care Environment

Michael L. Raulin, Ph.D.

Director, Anxiety Disorders Clinic

SUNY at Buffalo

Keynote Address presented at the Monsignor Carr 40th Anniversary Celebration Workshop Buffalo, NY April 18, 1997

Brief Therapy for Human Services Workers

Michael L. Raulin
Psychology Department
SUNY at Buffalo
Buffalo, NY 14260-4110
(716) 645-3697
raulin@acsu.buffalo.edu

Organization

- Principles of Managed Care
- Nature of Anxiety
- Treating Anxiety Disorders: A Managed Care Perspective
- Treating Specific Anxiety Disorders
- Becoming Proactive

Principles of Managed Care

Nature of Insurance Business

- Shared risk based on actuarial tables
- Competitive Pressures
 - From other insurance companies
 - From purchasers of insurance (90% are businesses)
 - From stock holders
- No tangible product
 - Essentially a buying service

Why Managed Care?

- Response to the competitive pressures
 - Need to offer an enhanced service for the money
 - We provide the service
 - Therefore, we are the one's pressured to provide more for less
- Mental health services are now a significant part of health care costs

Principles of Managed Care

- Two guiding principles
 - Control costs
 - Provide quality service
- No managed care company can compete in the long term unless both principles are met
- It is not unusual for these to be out of balance during the shake-out phase

Controlling Costs

- Enforce policy limits on what is covered
 - Exclude "medically unnecessary" treatment
- Efficient and appropriate service
 - Screen for critical issues (e.g., substance abuse)
 - Problem-focused treatment
 - Empirically-validated treatment procedures
- Pressure providers to work faster

How do we prosper?

- Sharp, thorough client assessments
 - backed by specific data
 - with a focus on functional deficits
- Clear, specific, and appropriate treatment goals
- Selection of empirically-validated treatment procedures
- Plan for monitoring progress

- Principles of Managed Care
- Nature of Anxiety

Anxiety

- Not, by itself, a problem
 - Anxiety is not only normal, it is helpful
 - Need to distinguish between
 - · Functional anxiety
 - · Debilitating anxiety
- Triggered by uncertainty about whether we can handle a situation
- Learned quickly; unlearned slowly

Anxiety Disorders

- Defined by being
 - too intense, too frequent, or inappropriate
 - interfering with functioning
- NOT defined by discomfort level
- Now recognize many different kinds of anxiety disorders

Types of Anxiety Disorders

- Specific Phobia (e.g., fear of heights)
- Panic Disorder (often with agoraphobia)
- Obsessive-Compulsive Disorder
- Social Phobia (e.g., public speaking anxiety)
- Generalized Anxiety Disorder
- Posttraumatic Stress Disorder

- Principles of Managed Care
- Nature of Anxiety
- Treating Anxiety Disorders: A Managed Care Perspective

Medical Necessity

- The FIRST hurdle in getting approval for treatment
- Rarely defined explicitly
- Most companies appear to define this in terms of functional disability
- Availability of empirically-validated treatments for the condition also considered

Functional Disability

- Definition: Inability to perform one or more functions expected of the client in his or her situation
 - Fear of heights is more dysfunctional for a roofer than for a teacher
 - Public speaking anxiety is more dysfunctional for a teacher than for a roofer
- Treatment should always focus on reducing functional disability

Diagnosis

- Explicit diagnosis with data to back it up
 - e.g., "Excess anxiety" does not fly as well as a diagnosis of "social phobia"
- Link diagnosis to:
 - the functional disabilities experienced by your client
 - the treatment plan
 - the criteria for successful outcome

Treatment Plan

- Everything must be explicit
 - Specific treatment technique
 - Specific focus for the treatment
 - Specific criteria for evaluating effectiveness
- Empirically-validated treatments
- Attention to the appropriateness of the plan for the client that you are treating

"Kiss-of-Death" Phrases

- Avoid these phrases
 - "Working through . . ."
 - "Exploring (or processing) their issues"
 - "Increase their insight into . . . "
- The problem is NOT the dynamic nature of these phrases, but rather, their lack of specificity and failure to link to the goal of reducing specific dysfunctions

Termination Criteria

- Always more issues to work through
 - So, how do you decide that treatment is no longer "medically necessary"
- Best to link termination criteria to the explicitly defined functional disabilities
- Never lose site of these
 - The single most important issue in dealing with managed care

Periodic Reviews

- Continuity in your treatment plan and goals
- Clear indication that you are monitoring progress
 - Focus on the functional disabilities
- More "kiss-of-death" phrases
 - "Building rapport"
 - "Laying the groundwork"

- Principles of Managed Care
- Nature of Anxiety
- Treating Anxiety Disorders: A Managed Care Perspective
- Treating Specific Anxiety Disorders

Specific Treatments

- Treatments covered
 - Panic disorder with agoraphobia
 - Obsessive-Compulsive Disorder
 - Specific Phobias
 - Social Phobias
- Overview of treatments only
- References to more specific information for each treatment covered

Panic Disorder

- Characterized by:
 - Occasional to frequent panic attacks
 - Secondary anxiety about having more attacks
 - Secondary anxiety drives agoraphobic avoidance of situations
- First recognized in DSM-III (1980)
- Once called "anxiety attacks"

Managed Care Points

- Medical necessity (generally accepted)
- Functional disability
 - Easy to establish if their is agoraphobia
- Empirically-validated treatment
 - Both medication and cognitive-behavioral treatments exist
- Termination criteria
 - Reduce panic and agoraphobic avoidance

Secondary Diagnoses

- Depression
 - "Feeling of helplessness" as a recipe for depression
 - Mild depression is ubiquitous
 - Major depression should be treated first
- Alcohol of drug abuse
 - Sometimes a self-medication strategy
 - Should be treated first

MAP Program

- Most comprehensive empiricallyvalidated treatment
 - Barlow & Craske (Greywind Publications)
- Key components
 - Education about nature of panic
 - Specific anxiety control strategies
 - Gradual exposure to feared situations
 - Desensitization to body sensations

Nature of Panic

- Fight or flight response
 - A false alarm
 - NOT dangerous
 - Symptoms explainable
- Produces secondary anxiety
 - Drives the agoraphobia
 - Increases the sensitivity of panic trigger
 - Primary focus of treatment

Anxiety Control Techniques

- Education
 - Reduces fear of the unknown
- Physiological control
 - Breathing exercises
 - Progressive muscle relaxation
- Cognitive control
 - Relabeling experiences
 - Distraction from disruptive cognitions

Exposure

- Gradual exposure (in vivo)
- Emphasize anxiety control techniques
 - breathing and cognitive techniques
- "Safe person" can facilitate exposure initially, but needs to be phased out
- Some exposure may require that you leave the office
- Exposure homework

Physiological Desensitization

- Panic patients often become hypersensitive to their own physiology
 - Small, and perfectly normal, physiological changes are viewed as threatening
 - May be sufficient to trigger a panic attack
- Deliberate exposure to these sensations reduce our sensitivity to them

Relapse Prevention

- Panic patients, even after successful treatment, remain prone to panic attacks
- Attention to strategy for dealing with future attacks
 - Needs to be over-learned to actually work
 - Sequence the responses learned during the treatment

Obsessive-Compulsive Disorder

- Characterized by:
 - Thoughts (obsessions) that are both unwanted and uncontrollable and that cause considerable anxiety
 - Behaviors (compulsions) designed to neutralize or minimize the impact of the obsessions
- Does not include appetitive behaviors like compulsive spending or gambling

Managed Care Points

- Medical necessity (generally accepted)
- Functional disability
 - Focus on time symptoms consume, reduced performance, and avoidance
- Empirically-validated treatment
 - Medication and behavioral treatments exist
- Termination criteria
 - Reasonable control of symptoms

Exposure with Response Prevention

- Only psychological technique with demonstrated efficacy
- Developed by Edna Foa and Colleagues
 - Steketee (Guilford Press)
- Key elements
 - Challenging obsessions by inviting them and then preventing the compulsions

ERP Approach

- Identify the current obsessions and compulsions
- Select a suitable starting point
 - Moderately challenging
 - If possible, one that you can coach
- Have the client do the exposure while trying to avoid doing the compulsion
 - Client does the response prevention

Repeated Exposure

- Exposure Process
 - A single exposure produces minimal change
 - Repeated exposure is needed
 - ERP become more efficient with each OCD symptom that is encountered
- OCD is controlled, rarely cured
 - Client has to be prepared to do ERP with each new symptom that develops

Specific Phobia

- Fear of a specific object or situation
 - Fear of specific animals
 - Fear of heights or flying
 - Must differentiate from agoraphobia that is secondary to panic
- May or may not be disruptive to the person's life

Managed Care Points

- Medical necessity (depends)
- Functional disability
 - What is avoided at what cost to client?
- Empirically-validated treatment
 - Desensitization (systematic or in vivo)
- Termination criteria
 - Fear and avoidance reduced or eliminated

Desensitization

- Types of Desensitization
 - Systematic (imaginal exposure)
 - In Vivo (actual exposure)
- Although original counterconditioning explanation is questionable, we still use anxiety control techniques
- Flooding is also an option

Social Phobia

- Fear of "performing" in public
 - e.g., public speaking or eating in public
- Some clients are willing to make career and life decisions to avoid these phobias
- May be long-standing or may develop following a traumatic event

Managed Care Points

- Medical necessity (depends)
- Functional disability
 - Focus on cost to client of avoiding the phobic situation
- Empirically-validated treatment
 - Cognitive-behavioral treatment available
- Termination criteria
 - Ability to perform with acceptable anxiety

Social Effectiveness Therapy

- Actually a general approach that includes
 - Social skills training
 - Anxiety management
 - Exposure
- The emphasis on social skills is critical in most cases

Example: Public Speaking

- Skills training
 - Organizing, rehearsing, and presenting
- Anxiety management
 - Usually breathing and cognitive techniques
- Exposure
 - Rehearsal for you or for a camera
 - Presentations to audiences (possibly using groups like Toastmasters International)

- Principles of Managed Care
- Nature of Anxiety
- Treating Anxiety Disorders: A Managed Care Perspective
- Treating Specific Anxiety Disorders
- Becoming Proactive

Why Managed Care?

- Reasons
 - Spiraling costs
 - No previous quality-assurance procedures
- Managed care would not exist if it were not for third party reimbursement
 - If client is paying out-of-pocket, client is managing his or her own care and judging the cost effectiveness of the treatment

Dealing with Managed Care

- Recognize their values and what they will support
 - Limit treatment to "medically necessary," which usually means serious functional disabilities present
 - Focus on functional disabilities primarily
 - Seek to use most efficient treatment
 - Show in your language that you understand what they are doing

Alternatives to Managed Care

- Not everyone who could benefit from psychotherapy is sick (i.e., required "medically necessary" treatment)
- Therapy as a growth process
 - Funded much like continuing education
- If costs are right AND benefits are likely, people will pay out-of-pocket for growthoriented therapy

References

- Barlow, D. H. (1988). Anxiety and its disorders: The nature and treatment of anxiety and penic. New York: Guilford Press.
- McNally, R. J. (1994). Panic Disorder: A critical analysis. New York: Guilford Press.
- Barlow, D. H., & Craske, M. G. (1989). Mestery of your enxiety and penic. Albamy, NY: Greywind Publications. [Second edition has just been released]
- Stelete, G. S. (1993). Treatment of obsessive compulsive disorder. New York: Guilford Press.
- Turner, S. M., Beidel, D. C., & Cooley, M. R. (1994). Social effectiveness therapy: A program for overcoming social anxiety and social phobia. Charleston, SC: Turndel Publishing.

Summary

- Managed Care wants effective, shortterm, problem-focused therapy for problems that cause significant dysfunction for the client
- Anxiety disorders, and the treatments available for them, meet these criteria
- You can work with managed care IF you remember what they are after